

INSTRUCTIONS FOR USE (IFU)

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1. Version control

Cause of the revision	Date	Version
First version	11/03/2022	001
Second version	24/03/2022	002
 Chapter 5. Warnings and precautions has been updated. 		
 Third version The table of chapter 2. General information has been updated regarding the UDI number. Section Precautions and alerts of chapter 2.1. Alerts and warnings have been updated. Content update on chapters 2.6. Clinical benefits, 3. Technical characteristics and specifications, and 4. How to use (adding Figures 5 and 6). Chapter 4. How to use updated: release notes icon. Chapter 5. Warnings and precautions have been updated. 	20/10/2022	003
 Fourth version NANDO code updated. Updated access circuit. Updated new contact mail. Removed reference to the 3D model. Updated references to the warning+URL visualization method. 	17/02/2023	004
Fifth version	01/09/2023	005
• 2.1 to 2.3 has been updated according to the planned measures in R-006-001_045.		
Sixth version	27/11/2023	006
 IFU SW integrated in PACs. Sycai Viewer removed Adapted IFU to RAIM Viewer PACS <u>CC-42</u>: Sens and Spec included in chapter 6. Included new requirements defined in European Regulation 2021/2226 for the electronic IFU. <u>CC-50</u>: removed reference to the need to have access to label after integration since label is included in the report of the product. Considerations mentioned in JIRA ticket are included (point 2.3) Updated the clinical validation chapter (chapter 6) <u>CC-72</u>: updated the intended use or purpose (chapter 2.4) + <u>CC-72</u>: chapters 2.1, 2.3 y 3 updated to remove reference to cross-sectional imaging and referencing CT scan images. 		

Written by: Reviewed by: Approved by: 27/11/2023 27/11/23 PRRC CO0 CEO 27/11/2023

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2. General information

ES	Medical device manufactured in Spain
MD	Medical Device Software
REF	SYCAI Medical®

2.1. Alerts and warnings

	Read the instructions for use before using this product
Â	 Precautions and alerts Patient management decisions should not be based solely on test results of SYCAI Medical[®]. This equipment must have connectivity with the PACS where the studies are stored. SYCAI Medical is interoperable with most CT vendors If the server where SYCAI Medical is installed has no GPU (graphic processing unit) the execution of one study can take more than 15 minutes.
	In case of observing an incorrect operation of the medical device, notify the manufacturer as soon as possible: <u>support@sycaitechnologies.com</u> . The manufacturer will proceed accordingly. Any serious incident must be reported to SYCAI TECHNOLOGIES S.L. as well as the National Competent Authority of the country.
	Undesirable side effects No undesirable side effects specifically related to the use of the software are known or anticipated.
	Targeted patients SYCAI MEDICAL is intended to be used with adult patients (18 years old and above). SYCAI MEDICAL is intended to be used with all patients undergoing an abdominal CT imaging test.
	 Inclusion criteria for patients Patients older than 18 years old. Patients of both sexes.

Patients who have undergone an abdominal CT scan.
Exclusion criteria for patients
 Patients younger than 18 years old.
Pregnant women.
Patients with pancreatectomy.
 Abdominal CT images showing less than 40% of the pancreatic cystic lesion.
 Abdominal CT images with poor image quality, blurred or defective image.
 Abdominal CT images with the presence of metal/radiopaque material.
 Abdominal CT images with rotated patients (>10°).
 Abdominal CT images with movement stripes.

2.2. Contraindications

No contraindications are known or anticipated for intended users.

2.3. Previous considerations

All users must read the entire Instructions for Use before using SYCAI Medical[®] software. The product must be used only by qualified and trained personnel.

SYCAI Medical[®] is designed for the exclusive use of professional users. The software is intended to assist healthcare professionals in diagnosis and cannot fully replace their clinical judgment.

The software should only be used integrated in the PACS denominated RAIM Viewer, version 2.8 or higher.

Any serious incident occurred in relation to the device shall be reported to the manufacturer by sending a mail to <u>support@sycaitechnologies.com</u> specifying in the head of the mail the name of the Hospital, the name of the PACS and its version.

The user can always request the manufacturer a paper copy of this document by sending an email to support@sycaitechnologies.com. The receipt of this copy shall not take longer than 7 natural days.

The electronic copy of this document (eIFU) is available in the website of the manufacturer under the link provided in the installation package of the product SYCAI Medical[®]. All previous versions of this document are available under the same link.

The eIFU can be downloaded in pdf-format from the provided link. It can be open with any commercial or free program for visualizing pdf files, such as Adobe Reader, e.g.

The useful life of this software is set at 5 years. SYCAI Medical[®] meets the requirements of Regulation2016/679/EU of the European Parliament and of the Council of April 27, 2016 on the protection of individuals in relation to the processing of personal data and on the free circulation of said data.

SYCAI Medical[®] has a series of standard acquisition protocols that guarantee the quality of the input images and the processed data. Otherwise, SYCAI Medical[®] algorithms may fail if any of the following image quality indicators are not present: no signs of image blur, absence of metal/radiopaque artifacts, absence of movement stripes, and no rotation of the selected patient. Therefore, the user should use standard image acquisition protocols such as those suggested by SYCAI Medical[®] to obtain reliable results.

SYCAI Medical[®] software complies with the DICOM 3.0 standard, a format that allows the exchange of medical images. DICOM is a standard format for encoding and transmission of medical images. SYCAI Medical[®] is interoperable with all the systems that meet this standard. In hospitals and health center facilities, SYCAI Medical[®] is interoperable with most CT modality machines and PACS systems through the DICOM communications protocol.

In case an input study has several phases made by the radiographer at the moment of the image acquisition, SYCAI Medical[®] will process just one of those phases according to the following prioritization:

- 1. In the Study Description of the DICOM it is specified that the test belongs to a "Pancreas" phase test
- 2. In the Study Description of the DICOM it is specified that the test belongs to a "Thorax 60s" phase test
- 3. In the Study Description of the DICOM it is specified that the test belongs to a "Venous" phase test
- 4. In the Study Description of the DICOM it is specified that the test belongs to a "Portal" phase test
- 5. In the Study Description of the DICOM it is specified that the test belongs to a "Arterial" phase test
- 6. In the Study Description of the DICOM it is specified that the test belongs to a "Abdominal" phase test
- 7. In the Study Description of the DICOM it is specified that the test belongs to a "Thorax 31s" phase test
- 8. In the Study Description of the DICOM it is specified that the test belongs to a "Lung" phase test
- 9. In the Study Description of the DICOM it is specified that the test belongs to a "Mediastinum" phase test

SYCAI Medical[®] software operates integrated in the PACS of the hospital or in the image acquisition hardware, so that, its execution is not conditioned by a manual triggering of the user, but it is automatic after the creation of a CT (computed tomography) scan test performed to a patient that is coded as:

- Abdominal (or equivalent) CT
- Thoracoabdominal (or equivalent) CT
- Abdominopelvic (or equivalent) CT
- Pancreatic (or liver) CT or
- Uro-CT (or equivalent) or
- Abdominal (or equivalent) scanner

SYCAI Medical[®] operates integrated into a Picture Archiving and Communication System (PACS) and relies on an existing commercial visualizer for the display of its results.

For this, the following requirements shall be fulfilled by the existing visualizer:

- User and role management shall be handled by the existing visualizer.
- Secure http communication protocol shall be established to communicate with SYCAI Medical[®].
- It shall allow the visualization of PDF or DICOM-SR (structured report) generated by SYCAI Medical[®].
- It shall fulfill the GDPR legislation.

Technical specifications and requirements for SYCAI Medical®:

- For local integration:
 - Operating System Requirements: SYCAI Medical[®] software consists of a platform based on plug-in oriented architecture and web-based technology. The software runs as a client-server application on the client's server side.
 - The server requirements to run SYCAI Medical[®] software are as follows: Ubuntu 18.04 or higher.
 - At least 16 GB of available RAM, preferably 32 GB or higher.
 - Internet access.
 - $\circ~$ 64 bits processor (i.e. i5 6500 or higher). It shall be compatible with CPU Virtualization
 - Preferably a 4GB NVIDIA cuda-compatible graphics card. In case GPU is not available, preferred configuration is Intel CPU from 6th. to 13th. generation.
 - Hard disk (HDD): 10GB.
- For cloud integration:
 - Operating System Requirements: SYCAI Medical[®] software consists of a platform based on plug-in oriented architecture and web-based technology. The software runs as a client-server application on the client's server side.
 - The requirements to run SYCAI Medical[®] under this model are based on a standard computer with a web browser installed (preferably Google Chrome or Firefox) and Internet access. The server requirements to run SYCAI Medical[®] software are as follows: Windows Server 2012 or higher, or Ubuntu 18.04 or higher.
 - At least 8 GB of RAM.
 - Internet connection.
 - \circ Publicly/internet exposed endpoint to receive the results from the cloud.

2.4. Intended use

SYCAI MEDICAL is a medical device software, based on artificial intelligence, that assists radiologists in the detection and characterization of radiological findings in the pancreas on CT scans of adult patients.

2.5. Intended users

The intended users are radiologists.

2.6. Clinical benefits

SYCAI Medical[®] identifies studies with a pancreatic cystic lesion before the study has been opened by the radiologist. The execution of the product takes place when the imaging test is stored so that the results are available at diagnosis time. It provides this information to the user by classifying the lesion detected in two large groups:

- 1. Mucinous lesions or lesions with malignant potential (lesions that may become malignant in the future): intraductal papillary mucinous neoplasm (IPMN) and mucinous cystic neoplasm (MCN).
- 2. Non-mucinous lesions or lesions with non-malignant potential: serous cystic neoplasm or serous cystadenoma (SCN or SCA) and pseudocyst (PCYST).

3. Technical characteristics and specifications

SYCAI Medical[®] applies artificial intelligence and advanced computational models to radiology images to objectively measure the changes produced by a lesion, offering additional quantitative information to the qualitative approach of radiology.

SYCAI Medical[®] software identifies and classifies the lesions present in the input imaging tests, providing this information to the user. This product is intended to be used in combination with an existing PACS in which SYCAI Medical[®] will be integrated, in this case, RAÏM Viewer. The installation of SYCAI Medical in the PACS is described in a separate document named "Installation Guideline".

Along with this classification, SYCAI Medical[®] can offer the following information:

- Size of the cystic lesion.
- Modality of the imaging test (CT scan)
- Location of the found lesion (head, body or tail of pancreas)
- Presence of calcifications in the lesion.
- Patient follow-up report: it tabulates the previous information regarding the cyst detected in the different successive CT scans found for the patient. In this way, the information regarding the relative growth of the lesion is standardized throughout the follow-up performed on the patient.

All this information is intended to assist the radiologist who uses the tool in the analysis of the patient's medical image, seeking to maximize the incidental findings of these lesions and increase the detection of lesions with malignant potential.

SYCAI Medical[®] works integrated in the customer facilities' PACS (Picture Archiving Communication System) so that its execution is not conditioned to a manual trigger by the user, but it is automatic after the creation of a CT (computed tomography) scan test performed on a patient that is coded as:

- Abdominal (or equivalent) CT
- Thoracoabdominal (or equivalent) CT
- Abdominopelvic (or equivalent) CT
- Pancreatic (or liver) CT
- Uro-CT (or equivalent)

After the execution of SYCAI Medical[®] on the input medical image test a warning will be generated. This warning will be in the shape of a pop-up that contains the following information:

- Patient name
- Study date and description
- Type: type of lesion found by Sycai (NM: non-mucinous. MUC: mucinous)
- A link to the automatic generated report containing:
 - The ID code of the patient
 - The age of the patient
 - The gender of the patient
 - A table of all the studies found of that patient and the output of the product for each of them. This table summarizes:
 - The study date
 - Modality of the study (CT or MRI)
 - Major axis (measurement of the 2D major axis of the detected lesion on its middle slice)
 - Location of the detected lesion (head, body or tail)
 - Presence of calcifications in the lesion (yes or no)
 - Final classification of the detected lesion (Non-mucinous or mucinous)
 - An axial image of the imaging study where the detected lesion is more visible to assist the radiologist in its diagnosis
 - A graphic showing the evolution of the lesion size through all the patient studies found.



Figure 1: Example of the pop-up that appears when opening a study where Sycai has found a lesion. The button with the arrow is the link to the automatic report.



Figure 2: Example of the report that is generated and accessed through the pop-up. This report has underneath the information shown the label of the product, containing the UDI Number as well as the product version.

4. How to use

SYCAI MEDICAL functions as a software as a medical device, operating exclusively within the context of Picture Archiving and Communication System (PACS) integration. Access to the software is facilitated by logging into the PACS using the radiologist's regular user credentials, including the associated username and password.

The steps to review the results of SYCAI MEDICAL are the following:

- 1. Log in to the PACS
- 2. Click on "Selection of Studies," and you will be automatically directed to the "Consultation" sheet. Click again on "Selection of Studies," where the user can search for a patient to open an imaging study. This can be done by searching via History number, patient name, study date, patient ID, study description, or study modality. Once you've found a study, double-click on it to open it in the PACS viewer.
- 3. If SYCAI MEDICAL has found a lesion in the selected study, a pop-up will automatically appear, similar to Figure 1. If no lesion has been found, no pop-up will appear. To open

the report generated by the product, click on the button with an arrow located within the pop-up.

Alternatively, the user can manually trigger the product to display the pop-up by clicking on the button "IA" and then "Sycai Medical alerts" button on the upper menu. This will list all the lesions found for the selected study, and clicking on the available alerts will redirect the user to the generated report by SYCAI MEDICAL.



Figure 3: IA button on the upper menu to access the report/s generated by SYCAI for the selected case/s

5. Introduction

This manual is the user guide for SYCAI Medical[®], software developed, marketed, and owned exclusively by SYCAI TECHNOLOGIES S.L. It provides information for a better understanding and, therefore, for a better use of the software SYCAI Medical[®].

This document is intended to be a practical usage guide to help users understand and use the SYCAI Medical[®] software platform and workflow integrated in the PACS denominated RAIM Viewer, developed and commercialized by Hospital Parc Taulí and UDIAT (Unitat de Diagnostic per la Image).

To achieve this goal, this document contains a complete explanation of the views and features that users can use. It also includes flowcharts on how to achieve the most common uses.

5.1 Acronyms and glossary

Acronyms	
DICOM	Digital Imaging and Communications in Medicine
MR	Magnetic Resonance
HTML	Hypertext Markup Language
CSS	Cascading Style Sheets
СТ	Computerized tomography
PET	Positron Emission Tomography
PACS	Picture Archiving and Communication System
ROI	Region of Interest
CSV	Comma Separated Value
XLS	Microsoft Excel spreadsheet file
PDF	Portable Document Format
DWI	Diffusion Weighted Imaging
HU	Hounsfield Unit
MSIE	Microsoft Internet Explorer
AUC	Area Under Curve

Glossary		
The user	Radiologists	
The administrator	User with administrative privileges who can access (view and modify) the studies and analyzes of other users.	

5.2 Way of use

ICONS	
IA·	Button to access the generated report by SYCAI MEDICAL on demand. It will list all the alerts or reports generated by the product for the selected study and allow the user to click on them and open the report.
Q -	Zoom in or zoom out.
MPR -	Rendering of the sagittal and coronal views corresponding to the axial one shown by default.
ð	The upper menu with this buttons shows up when approaching the mouse to the upper side of the screen. By click this button, the bar with these buttons will remain fix and always visible.
-	It allows the user to save the opened DICOM serie/s as an image or as a video file
- 1 <mark>1357</mark>	It allows the user to print the screen

_ ·	It allows the user to change the monitor configuration (in case it is working with more than one)
-	It allows the user to visualize the whole DICOM study as a movie that can be paused, moved backwards or forwards.
- #	Change the point of view of the viewer
	Button to apply a modification made on a single image to all images of the study or not
Ф ·	Draw annotations on the DICOM study selected, create measurements between selected points, draw circles or other geometric figures to highlight a specific part.
L _N	Draw a measurement between two points.
	Close the selected study
•	Choose which actions shall be performed with each click of the left, right and central buttons of the mouse
	Selection of studies: option to open up the studies searcher and select one or more.
	Change the user's configuration to edit the default information shown when logging in.

6. PRODUCT INFORMATION

SYCAI Medical[®] is a medical device software designed for CT scans. It has demonstrated a sensitivity of 92% and a specificity of 80% in CT scan imaging tests for the detection of pancreatic cystic lesions, as well as an accuracy of 68% in the classification of the detected lesions between mucinous and non-mucinous, with a Positive predictive value for the classification of mucinous lesions (PPV) of 74% and negative predictive value (NPV) of 62%. The false negative rate in the detection is 8.66%, which indicates the ratio of lesions missed by the product compared to the ones found by radiologists during the clinical evaluation.

These metrics were obtained during a clinical validation involving external validation from different up to four different Hospitals and clinics from different cities, which included up to 279

patients and 858 studies of CT scan protocols as defined in Section 2.3 (Abdominal, thoracoabdominal, abdominopelvic, Pancreatic, uro-CT, and abdominal scanner or equivalent).

The terms of sensitivity and specificity as well as positive predictive value (PPV) and negative predictive value (NPV) are to be understood as:

$$S = \frac{TP}{TP + FN}$$
$$Sp = \frac{TN}{FP + TN}$$
$$PPV = \frac{TP}{TP + FP}$$
$$NPV = \frac{TN}{TN + FN}$$

Where:

- S: Sensitivity
- Sp: Specificity
- PPV: Positive predictive value
- NPV: Negative predictive value
- TP: true positive (the product accurately identified a lesion in a patient who did indeed have one)
- FP: False positive (the product detected a lesion in a patient who, according to the diagnosis, did not actually have one)
- TN: true negative (the product correctly identified the absence of a lesion in a healthy patient)
- FP: False positive (the product erroneously identified the absence of a lesion in a patient who had been diagnosed with one)

7. DATABASE INFORMATION

7.1 Training database information

The training database used for the clinical trial included a total of over 60000 images of CT scans that belong to the following proportion of diagnosed / non-diagnosed patients:

- Studies with mucinous lesions: 48%
- Studies with non-mucinous lesions: 40%
- Control studies: 12%

The distribution of studies included in the training database can be illustrated as follows:



Figure 4: Distribution of studies with mucinous lesions, non-mucinous lesions and controls for the generation of the training dataset